## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Adam I. Pinard et al.

Serial No.:

10/636,043

Filed:

August 7, 2003

Title:

LEAD SCREW COUPLING

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

The undersigned hereby certifies that this document is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 22, 2003.

Kristofer E. Elbing, Registration No. 34,590

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 and 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants request consideration of this Information Disclosure Statement.

## PART I: Compilance With 37 C.F.R. § 1.97

This Information Disclosure Statement has been filed before the mailing of a first Office Action on the merits. No fee is due.

## PART II: <u>Information Cited</u>

Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

### PART III: Remarks

Copies of all documents listed on the attached PTO-1449 form (modified), and not previously cited or submitted to the Office in one or more prior applications, are enclosed. It is respectfully requested that:

The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims; and

The citations for the information be printed on any patent which issues form this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that a more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-0750.

Respectfully submitted,

Date

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KM PTO-1449 (MODIFIED)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Attorney Docket No.

10001-008002

INFORMATION DISCLOSURE

Serial No.

10/636,043

STATEMENT BY APPLICANT (Use several sheets if necessary)

**Applicant** 

Pinard et al.

(37 CFR §1.98(b))

Filing Date

August 7, 2003

#### U.S. PATENTS

| Examiner's Initials | Patent Number | Issue Date    | Patentee        | Class | Subclass | Filing Date (If Appropriate) |
|---------------------|---------------|---------------|-----------------|-------|----------|------------------------------|
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|                     | *3,700,289    | Oct. 24, 1972 | Bilinski et al. | 403   | 2 A      |                              |
| <b>W. A.</b>        | *3,831,460    | Aug. 27, 1974 | Linley, Jr.     | 74    | 459      |                              |
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#### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| Examiner's<br>Initials | Document<br>Number | Publication<br>Date | Country or<br>Patent Office | Class | Subclass | Translation<br>(Yes/No) |
|------------------------|--------------------|---------------------|-----------------------------|-------|----------|-------------------------|
|                        |                    |                     |                             |       |          |                         |

#### OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)

\*Universal Thread Grinding Company, "Precision Lead Screw Assemblies," p. 5, at least as early as June 14, 2001.

#### **EXAMINER**

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

<sup>\*</sup> A copy of this reference is not provided as it was previously cited by or submitted to the Office in one or more prior applications, including Serial No. 09/894,681, filed June 28, 2001, relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).